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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

MARK OFFICE

ATTORNEY DOCKET NUMBER

10/044,680

01/11/2002

Keefer

PU4070US2

**CONFIRMATION NO. 9712** 

23347 DAVID J LEVY, CORPORATE INTELLECTUAL PROPERTY **GLAXOSMITHKLINE** FIVE MOORE DR. PO BOX 13398 DURHAM, NC 27709-3398

**FORMALITIES LETTER** OC000000007454257

Date Mailed: 02/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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Applicant(s): Keefer et a		PEVO		PU4070US2
Serial No. 10/044,680	Filing Date Janu. 11, 2002	APR 1 1 2002	Examiner	Group Art Unit
Invention:		TENT & TRADE		
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Assistant Commission	er for Patents, Washington	n. D.C. 20231 on	March 26, 20	002
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PTO/SB/21 (08-00)
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APR 1 1 2002

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application	10/044,680				
Filing Date	January 11, 2002				
First Named	Keefer				
Group Art Unit					
Examiner Name					
Attorney Docket Number	PU4070US2				

ENCLOSURES (check all that apply)								
Fee Transmittal Form	Assignment Papers	After Allowance Communication						
Fee Attached	(for an Application)  Drawing(s)	to Group  Appeal Communication to Board of Appeals and Interferences						
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)						
After Final	Petition	Proprietary Information						
Affidavits/declaration(s)	Petition to Convert a Provisional Application	Status Letter						
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence	Other Enclosure(s) (please identify below):						
Express Abandonment Request  Information Disclosure Statement	Terminal Disclaimer  Request for Refund  CD, Number of CD(s)	Copy of Notice to Comply						
Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remarks							
	RE OF APPLICANT, ATTORNEY, OR AGE	NT						
Firm Frank P. Grassler or Individual name								
Signature Feld Charle								
Date 3/2/02								
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FEE	TRANSMITTAL for FY 2002
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Signature

Application Number 10/044,680 Filing Date January 11, 2002 Patent fees are subject to annual revision. First Named Inventor Keefer Applicant claims small entity status. See 37 CFR 1.27 **Examiner Name** Group Art Unit **TOTAL AMOUNT OF PAYMENT** \$130.00 Attorney Docket No. PU4070US2

Check   Credit card   Mone   Other   None   Deposit Account:   O7-1392   O	METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)								
Deposit   O7-1392   O7-1	Check Credit card Mone Other None		3. ADDITIONAL FEES								
Deposit Account   GlaxoSmithKline   130.00   127   50   227   25 Surcharge - late filing fee or oath   130.00   130.0	Deposit Account:						-				
Number Deposit Account Name  The Commissioner is authorized to: (check at that apply)  The Commissioner is authorized to: (check at that apply)  Charge fee(s) indicated below		0.5	7 1000	Code	(\$)	Code	(\$)		•		
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108 330   206 185   Design filing fee   120 320   220 180   Filing a brief in support of an appeal   107 510 207 255   Plant filing fee   121 280   221 140   Request for oral hearing   122 140   Request for oral hearing   123 140   Request for oral hearing   128 140   128 140   Petition to revive - unavoidable   128 140   128 140   Petition to revive - unavoidable   128 140   Petition to revive -			escription Fee Paid	128	1,960	228	980	Extension for re	ply within fift	h month	
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Extra Claims    Submitted By   Submitted By   Submitted By   Submitted By   Submited By   Submission of Information Disclosure Statement   Submission after final rejection (37 CFR § 1.129(a))   Submission of Information Disclosure Statement   Submission after final rejection (37 CFR § 1.129(a))   Submission of Information Disclosure Statement   Submission after final rejection (37 CFR § 1.129(a))   Submission of Information Disclosure Statement   Submission after final rejection (37 CFR § 1.129(a))   Submission of Information Information Information   Submission of Information Informati	2. EXTRA CLAIM FEES FOR UTILITY AND			142	1,280	242	640	Utility issue fee	(or reissue)		
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SUBMITTED BY  Registration No. 11164 Tolophoro 219,483,1007	SUBTOTAL (2) \$0.00										
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	SUBMITTE	D BY							Complete (ii	f applicable)	
	Name Frank P. Grassler					D.	31,164	Telephone	919-483-1	007	

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